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# Low Rates of Screening for Celiac Disease Among **Family Members**

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- **METHODS:** Relatives of 2081 patients with biopsy-diagnosed celiac disease and followed up at Columbia University Medical Center were identified using relationship inference from the electronic health record—a validated method that uses emergency contact information to identify familial relationships. We manually abstracted data from each record and performed univariate and multivariate analyses to identify factors associated with testing relatives for celiac disease.
- **RESULTS:** Of 539 relatives identified, 212 (39.3%) were tested for celiac disease, including 50.4% (193 of 383) of first-degree relatives and 71.5% (118 of 165) of symptomatic first-degree relatives. Of the 383 first-degree relatives, only 116 (30.3%) had a documented family history of celiac disease. On multivariate analysis, testing was more likely in adults (odds ratio [OR], for 18-39 y vs younger than 18 y, 2.27; 95% CI, 1.12-4.58); relatives being seen by a gastroenterologist (OR, 15.16; 95% CI, 7.72-29.80); relatives with symptoms (OR, 3.69; 95% CI, 2.11-6.47); first-degree relatives of a patient with celiac disease (OR, 4.90, 95% CI, 2.34-10.25); and relatives with a documented family history of celiac disease (OR, 11.9, 95% CI, 5.56-25.48).
- **CONCLUSIONS:** By using an algorithm to identify relatives of patients with celiac disease, we found that nearly 30% of symptomatic first-degree relatives of patients with celiac disease have not received the tests recommended by guidelines. Health care providers should implement strategies to identify and screen patients at increased risk for celiac disease, including methods to ensure adequate documentation of family medical history.

Keywords: RIFTEHR; Gluten; Transglutaminase; Antibody; Risk Factor.

43<mark>0</mark>6 eliac disease is a genetically linked autoimmune **Q7** disease triggered by the ingestion of gluten. Currently, it is estimated that approximately 1% of the population has celiac disease, with recent studies showing an increasing prevalence of the disease worldwide.<sup>1-3</sup> Given the myriad presenting symptoms, diagnosis of celiac disease can be missed, placing individuals at risk for increased morbidity and possibly mortality.<sup>4,5</sup> Recent studies have suggested that asymptomatic individuals identified via screening have benefited from initiation of a gluten-free diet; patients had fewer gastrointestinal symptoms, improved histologic findings on biopsy, and lower anxiety without impairment in quality of life.<sup>6-8</sup> As a result, emphasis has been placed on identifying and ensuring early diagnosis of patients with celiac disease.

Current strategies focus on testing patients with a higher pretest probability of disease. Included in this population are those with a family history of celiac disease, who are known to be at a higher risk. One recent study estimated that 10% of first-degree relatives of those with celiac disease also were found to have celiac disease.<sup>9</sup> Guidelines thus recommend testing for celiac disease in symptomatic first-degree relatives, and to

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Abbreviations used in this paper: EHR, electronic health record; RIFTEHR, relationship inference from the electronic health record.

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**BACKGROUND & AIMS:** Given the increased morbidity and potential mortality of celiac disease, guidelines recommend screening high-risk individuals, including first-degree relatives of patients. We assessed how commonly celiac disease testing occurs in these individuals and identified factors that influence testing.

117 consider screening of asymptomatic first-degree
 118 relatives.<sup>10,11</sup>

119 Little is currently known about adherence to these 120 guidelines. One study screening asymptomatic in-121 dividuals found that of 35 individuals newly diagnosed 122 with celiac disease in a population-based mass screening 123 program, 10 (29%) had a positive family history for the 124 disease that should have prompted prior testing.<sup>7</sup> Given 125 the paucity of data, our goal was to use a novel validated 126 algorithm identifying familial relationships from the 127 electronic health record (EHR) to study current 128 screening practices in relatives of celiac disease patients 129 at our institution, and to identify the factors that influ-130 ence testing to improve future adherence rates.

### Methods

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134 We conducted a retrospective analysis examining 135 relatives of patients (n = 2081) with biopsy-diagnosed 136 celiac disease in a prospectively maintained database at 137 New York-Presbyterian Hospital/Columbia University 138 Medical Center. Relatives of index cases were identified 139 using an algorithm named Relationship Inference From 140 The Electronic Health Record (RIFTEHR); a novel vali-141 dated method that uses the first name, last name, phone 142 number, and ZIP code of the emergency contact pro-143 vided to identify familial relationships from existing 144 clinical databases. The emergency contact information 145 also includes an individual's relationship to the patient, 146 which allows our algorithm to differentiate a spouse 147 from a relative who shares the same last name. 148 Once the relationships are identified, RIFTEHR infers 149 additional relationships according to family structure. 150 The identified relationships were validated previously 151 using both clinical and genetic data in 3 distinct 152 institutions.<sup>12</sup> 153

We manually reviewed each record to extract celiac 154 disease testing information from the EHR. The manual 155 review included extraction of the following elements: (1) 156 serology results, (2) duodenal biopsy results, (3) occur-157 rence of a visit with a gastroenterologist, (4) presence of 158 signs or symptoms of celiac disease in clinical notes and/ 159 or International Classification of Diseases codes, and (5) 160 documentation of a family history of celiac disease. De-161 mographic information such as sex, age, race, and 162 ethnicity were queried from the EHR database. We 163 defined celiac disease screening to include either anti-164 body testing or endoscopic evaluation with duodenal 165 biopsy. 166

In our institution, race and ethnicity were collected in 167 2 distinct fields. To adequately capture the diverse 168 population seen in our institution while dealing with 169 missing data, we transformed the 2 fields into a single 170 field. Patients with reported ethnicity of Hispanic are 171 reported in this study as Hispanic. Patients without 172 ethnicity data are reported using the race information 173 available (eg, white, black or African American, Asian). 174

## What You Need to Know

### Background

Undiagnosed celiac disease may increase morbidity. As a result, guidelines suggest screening high-risk individuals, including first-degree relatives of affected patients.

#### Findings

Almost 30% of symptomatic first-degree relatives were not tested for celiac disease. We found that having a documented family history of celiac disease and seeing a gastroenterologist were significant predictors.

#### Implications for patient care

Emphasis should be placed on ensuring adequate documentation of family history, and on educating primary care physicians as to the importance of celiac disease testing in relatives.

We also extracted the number of visits family members had after the index case had been diagnosed with celiac disease.

We then used SAS software (Cary, NC) version 9.4 to  $Q^8$  perform both univariate and multivariate analyses to identify predictors of celiac disease screening. We tested the following variables a priori and included all variables in the multivariable analysis. All reported *P* values are 2-sided. The Institutional Review Board of Columbia University Medical Center approved this study.

### Results

#### Demographic Information

We applied the RIFTEHR algorithm to identify family members of the 2081 index cases of celiac disease, yielding 379 distinct families and 852 relatives. Our inclusion criteria included only relatives seen at our institution after the index case was diagnosed, which resulted in a total of 272 distinct families and 539 relatives that we then included in the analysis (Table 1).

There was a relatively even distribution of men (47.1%) and women (52.9%), and those >18 years 221 (52.5%) compared with those younger than 18 years 2.2.2 (47.5%). The majority of individuals identified were 223 first-degree relatives (71.1%) of patients with celiac 224 disease and had been seen more than once (88.3%) at 225 our institution after their relative was diagnosed. Non-226 Hispanic white (58.6%) and Hispanic (28.9%) were the 227 2 most commonly documented ethnicities in our study 228 population. On manual review of the EHR to provide the 229 highest accuracy, 316 of the 539 total relatives (58.6%) 230 did not have any of the included associated symptoms or 231 conditions related to celiac disease documented. 232

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233 **Table 1.** Demographics of Relatives (n = 539)

	N (%)
Age, y	
<18	256 (47.5)
18–39	114 (21.2)
40–69	133 (24.7)
≥70	36 (6.7)
Sex	
	254 (47.1)
Female	285 (52.9)
Non Hisponia white	216 (58 6)
African American	14 (2.6)
Hispanic	156 (28.9)
Other/unknown	53 (9.8)
Relative	
First	383 (71.1)
All other	156 (28.9)
Number of times seen at CUMC	
1	63 (11.7)
2–5	206 (38.2)
	270 (50.1)
CD signs/symptoms during any visit	F4 (10 0)
Bloating	54 (10.0) 19 (2.2)
Abdominal pain	136 (25.2)
Fatigue	2 (0.4)
Fe. def. anemia	14 (2.6)
Osteoporosis/osteoarthritis	29 (5.4)
GERD	62 (11.6)
Type 1 diabetes mellitus/IgA deficiency/primary	11 (2.0)
biliary cholangitis	
None of the above	316 (58.6)

CD. celiac disease: CUMC. Columbia University Medical Center: GERD. 264 gastroesophageal reflux disease. 265

#### Screening Practices

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Of the 539 total relatives, 212 (39.3%) were tested for celiac disease. Of those 212 tested for celiac disease, 61 (28.7%) had serologic testing alone, 24 (11.3%) had endoscopic evaluation with biopsy alone, and 127 (60%) had both serologic and endoscopic evaluation with biopsy.

Among first-degree relatives, we found that 193 of the 383 (50.4%) had been screened for celiac disease (Table 2). When restricting this analysis to first-degree relatives with associated symptoms or conditions related to celiac disease, we found that 71.5% (118 of 165) were tested. Because screening practices largely are influenced by the available data at the time of the visit,

291 we manually reviewed each patient's EHR to determine if a family history of celiac disease had been documented 292 anywhere within the record. Of all 539 relatives, only 293 120 (22.3%) had a family history of celiac disease 294 documented. When subcategorized by degree of relative, 295 we found that 30.3% of first-degree relatives had docu-296 mentation of a family history of celiac disease, compared 297 with only 2.6% for all other degrees of relatives. Of note, 298 there were 32 individuals tested for celiac disease who either had additional signs and symptoms of celiac disease not included in our analysis (eg, short stature), or limited documentation with no family history, or associated sign or symptoms, documented.

### Factors Associated With Celiac Disease Testing

On univariate analysis, there were several factors that were associated with a higher likelihood of being tested (Table 3). Only 5.6% of relatives older than age 69 were tested, a far lower rate compared with all other age categories, which ranged from 35.3% to 44.1%. Screening practices also varied by race, with 58.6% of non-Hispanic whites, 25% of Hispanics, and 0% of African Americans tested. In addition, the presence of symptoms (59.2% vs 25.3%; P < .0001), whether the relative was seen by a gastroenterologist (87.1% vs 20.1%; P < .0001), whether there was documentation of a family history of celiac disease in the EHR (89.2% vs 25.1%; P < .0001), and the degree of relative (first-degree 50.4% vs all other degrees 12.2%; P < .0001), were associated with testing for celiac disease. Notably, neither sex (male 39% vs female 39.7%; P = .87) nor the number of times a relative had been seen at our institution after the initial family member had been diagnosed (once 36.5% vs 2-5 times 45.2% vs >5 times 35.6%; P = .09) affected the likelihood of celiac disease testing.

On multivariate analysis (Table 4), we found that age, the number of visits to our institution, being seen by a gastroenterologist, the presence of symptoms or conditions associated with celiac disease, a documented family history of celiac disease, and the degree of relative to be significant predictors of screening. Specifically, we found that relatives aged 18 to 39 were more than 2 times more likely to be screened than relatives younger than age 18 (odds ratio [OR], 2.27; 95% CI, 1.12–4.58; P =.02). When the number of visits was considered as a binary variable, those seen more than 5 times were less

3	Table 2. Screening and Chartin	g Practices Based or	Degree of Relative
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Variable	Total	First-degree relative	All other relatives	P value
Tested for CD	212/539 (39.3%)	193/383 (50.4%)	19/156 (12.2%)	<.0001
Family history lists CD	120/539 (22.3%)	116/383 (30.3%)	4/156 (2.6%)	<.0001

NOTE. The percentage of symptomatic first-degree relatives tested for celiac disease was 71.52% (118 of 165).

290 CD, celiac disease.

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Variable	Screened (%)	P value
Age, y		
<18	113/256 (44.1)	<.0001
18–39	50/114 (43.9)	
40–69	47/133 (35.3)	
≥70	2/36 (5.6)	
Sex		
Male	99/254 (39.0)	.87
Female	113/285 (39.7)	
Race		
Non-Hispanic white	149/316 (58.6)	<.0001
African American	0/14 (0)	
Hispanic	39/156 (25)	
Other/unknown	24/53 (45.3)	
CD signs/symptoms	100/000 (50.0)	. 0001
Symptoms documented	132/223 (59.2)	<.0001
Visite n	60/310 (25.3)	
1	22/62 (26 5)	00
1 2_5	23/03 (30.3)	.09
~5	96/270 (35.6)	
Seen by a gastroenterologist	50/270 (05.0)	
Yes	135/155 (87 1)	<.0001
No	77/384 (20.1)	
Family history lists CD		
Yes	107/120 (89.2)	<.0001
No	105/419 (25.1)	
Degree of relative		
First	193/383 (50.4)	<.0001
Other	19/156 (12 2)	

349 Table 3. Factors Associated With Screening: Univariate

Table 4. Multivariat	e Analysis	Examining	Patient F	actors
Associated	With Scr	eening in Ā	II Relative	es

Variable	Adjusted OR <sup>a</sup>	95% CI	P value	
Age. v				
<18	1.0	Ref	Ref	Q14
18–39	2.27	1.12-4.58	.02	Q15
40–69	1.03	0.53-2.02	.93	
≥70	0.27	0.05–1.43	.12	
Sex				
Female	1.0	Ref	Ref	
Male	0.882	0.52–1.51	.65	
Race/ethnicity				
Non-Hispanic white	1.0	Ref	Ref	
Hispanic	0.75	0.39–1.46	.40	
Other/unknown	1.16	0.52–2.57	.72	
Number of visits to CUMC		5 (	Б (	
1–5 VISITS	1.0	Ret	Ref	
>5 VISITS	0.57	0.32-0.999	.0495	
No	1.0	Pof	Pof	
Ves	15.16	7 72_29 80		
Any documented symptom/sign	10.10	1.12 20.00	<.0001	
of celiac disease <sup>b</sup>				
No	1.0	Ref	Ref	
Yes	3.69	2.11–6.47	<.0001	
Family history lists CD				
No	1.0	Ref	Ref	
Yes	11.9	5.56–25.48	<.0001	
Degree of relative				
Other	1.0	Ref	Ref	
First	4.90	2.34–10.25	<.0001	

CD. celiac disease.

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381 likely to be screened compared with those seen 1 to 5 382 times, although this was of borderline significance (OR, 383 0.57; 95% CI, 0.32–1.00; P = .05). Other significant 384 predictors included the presence of any condition or 385 symptom related to celiac disease (OR, 3.69; 95% CI, 386 2.11–6.47; P < .0001) and being a first-degree relative 387 (OR, 4.90; 95% CI, 2.34–10.25; *P* < .0001). The 2 factors 388 most strongly associated with screening were whether 389 the relative had been seen by a gastroenterologist (OR, 390 15.16; 95% CI, 7.72–29.80; P < .0001) and whether 391 there was documentation in the EHR of a family history 392 of celiac disease (OR, 11.9; 95% CI, 5.56-25.48; 393 P < .0001). Race and sex were not associated with celiac 394 disease testing on multivariate analysis. 395

#### Biopsy-Proven Celiac Disease

399 A total of 79 of the 539 relatives (14.7%) had biopsies 400 consistent with celiac disease. Seventy-six of these individuals were first-degree relatives, with 30 (39.4%) 401 402 age 18 years and older, and 46 (60.5%) younger than age 403 18 years. Fourteen individuals had biopsy-proven celiac 404 disease but no record of antibody testing recorded 405 within the EHR. Of the 82 patients who tested positive 406 for celiac antibodies (endomysial, transglutaminase, CD, celiac disease; CUMC, Columbia University Medical Center.

<sup>a</sup>Adiusted for all variables listed in Table 4.

<sup>b</sup>Symptoms/signs of celiac disease include diarrhea, bloating, abdominal pain, fatigue, osteoporosis, osteoarthritis, gastroesophageal reflux disease, type 1 diabetes, autoimmune thyroid disease, IgA deficiency, and primary biliary cholangitis.

and/or gliadin peptide), 80 (97.6%) were first-degree relatives, and a total of 65 (79.3%) had a biopsy confirming the diagnosis.

#### Discussion

Although the recent US Preventative Services Task Force recommendations concluded that "current evidence is insufficient to assess the balance of benefits and harms of screening for celiac disease in asymptomatic persons,"<sup>13</sup> both adult and pediatric guidelines<sup>10,11</sup> suggest a benefit from screening first-degree relatives of people with celiac disease to decrease morbidity.<sup>14,15</sup> Although much of this is based on consensus data, at our celiac disease center we similarly have found that 25% of children seen were diagnosed through screening high-risk groups,<sup>16</sup> as were 10% of adults.<sup>1</sup>

In this study, we showed the clinical utility of 460 RIFTEHR, an algorithm that extracts familial relation-461 ships from existing clinical databases, to identify patients 462 at risk for developing celiac disease. Our study found that 463 almost 50% of all first-degree relatives were not tested, 464

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including nearly 30% of symptomatic first-degree relatives. Previous research has suggested similar findings
for a myriad of different diseases, most notably in relation to cancer screening.<sup>18-20</sup>

469 There are a number of different contributing factors to 470 the overall low adherence to screening rates. As hypoth-471 esized and previously noted in other conditions, being 472 seen by a specialist in that discipline is associated with a higher likelihood of being screened.<sup>21</sup> In our study, only 473 474 39% of relatives were seen by a gastroenterologist, and 475 those who did were significantly more likely to be 476 screened. In addition, in adherence with American College 477 of Gastroenterology guidelines, which recommend testing 478 of first-degree symptomatic relatives,<sup>10</sup> we found that 479 both being a first-degree relative and being symptomatic 480 were associated independently with an increased likeli-481 hood of being tested for celiac disease. Those patients 482 seen more than 5 times without being tested were overall 483 less likely to be tested. This may be owing to a significant 484 number of acute conditions that dictated numerous visits 485 and took precedence over celiac disease screening, or 486 reflect that after several visits, the provider and patient 487 may no longer be as cognizant of the family member who 488 previously was diagnosed with celiac disease, and, as a 489 result, were less likely to be tested.

490 Although many factors were found to influence the 491 likelihood of being tested for celiac disease, one strong 492 and modifiable predictor we identified was the care 493 provider having access to a patient's relevant clinical 494 information during a visit. In our study, we found that 495 individuals with a documented family history of celiac 496 disease had an 11.9 times greater odds of being tested 497 compared with those who had no EHR documentation of 498 a family history of celiac disease. Although family health 499 history has been described previously as "a core element 500 of clinical care,"22 many EHR implementations do not store family history in a centralized or standardized 501 fashion.<sup>23</sup> As such, family history data often are absent or 502 collected and stored as free-text as part of clinical notes, 503 making the extraction and use of this information during 504 patient visits difficult.<sup>24,25</sup> Standardizing the input of this 505 information across all EHRs may improve future adher-506 507 ence to screening practices because it would allow cli-508 nicians to easily identify those at higher risk for both 509 celiac and other diseases.

510 Although having a standardized information technol-511 ogy process for collecting and displaying clinical information may improve screening rates, providers still are 512 met with challenges, including short visit times<sup>26</sup> and an 513 overwhelming amount of data in the EHR<sup>27</sup> that may 514 515 preclude screening. Future directions may include 516 using health information technology tools, such as the 517 RIFTEHR algorithm, to identify high-risk patients eligible 518 for screening. This, in addition to other EHR-based algorithms,<sup>28</sup> may be able to be used to alert physicians of 519 520 such eligibility during the clinical visit.<sup>29</sup> Before imple-521 mentation, however, there are ethical issues that would 522 need to be addressed properly, including a patient's right

to privacy and the clinician's duty to warn relatives of potential genetic risks.

Overall, 14.7% of our cohort had biopsy-confirmed celiac disease. This likely is higher than previously reported percentages<sup>30</sup> owing to a high number of referrals given the presence of a specialized celiac disease center at our institution. Of the 82 individuals who had any positive celiac antibody and a biopsy in our system, 65 were found to have biopsy-proven celiac disease. This results in a positive predictive value of 79.2% in our cohort, similar to previously reported findings.<sup>31,32</sup>

One limitation and strength of our study was that it 534 was undertaken at a single center that has a specialized 535 536 Celiac Disease Center. Although this may limit the generalizability of our results, our study was performed 537 in New York City, which has both a large and diverse 538 patient population. Of note, the high proportion of His-539 panic individuals in our study likely resulted from 3 540 different causes: (1) a high proportion of Hispanic in-541 dividuals seen at our institution, (2) increased awareness 542 and thus prevalence of celiac disease in ethnicities other 543 than non-Hispanic whites,<sup>33</sup> and (3) transformation of 544 the race and ethnicity pairs into a single race/ethnicity 545 variable. Moreover, by using only individuals seen at our 546 institution, we were able to use a cohort of 2081 patients 547 with confirmed celiac disease, rather than relying on a 548 patient population identified by International Classifica-549 tion of Diseases codes, which often includes many pa-550 tients without true celiac disease.<sup>34</sup> In addition, our 551 screening rate for first-degree relatives with symptoms 552 was only approximately 70% at our institution, and, 553 although not generalizable, likely represents a higher 554 percentage than those tested at other hospitals and in-555 stitutions that do not specialize in celiac disease care. 556 557 Finally, our study identified several associations that may predict celiac disease testing, but further investi-558 gation is necessary to determine causality. 559 560

In summary, we extracted familial relationships from existing clinical databases to identify patients at risk for developing genetically linked diseases. In this study, we found poor overall adherence to celiac screening guidelines and identified significant and actionable predictors of screening. Our results suggest that we may significantly increase the adherence rate to these guidelines by educating primary care physicians on the importance of testing relatives of patients with celiac disease, as well as by ensuring a family history of celiac disease is documented in the EHR. Future efforts should focus on leveraging this technology to increase awareness of family history among patients and providers, as well as on studying the long-term natural history of testing and outcomes among relatives.

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